

On the singular Q -curvature problem

Rayssa Caju

UFPB

PDE Seminar - IMECC/UNICAMP

November 25, 2021

The author was partially supported by FAPESq

Joint work with



João Henrique
Andrade



João Marcos do Ó



Almir Silva Santos



Jesse Ratzkin

Conformal geometry and PDE's

- Let (M, g) be a Riemannian manifold. We say that a metric $\tilde{g}$ is conformal to g when there exists a positive function ρ , such that

$$\tilde{g} = \rho g. \quad (\text{angle preserving})$$

Conformal geometry

Study of quantities or operators that are conformally invariant.

Conformal geometry and PDE's

- Let (M, g) be a Riemannian manifold. We say that a metric $\tilde{g}$ is conformal to g when there exists a positive function ρ , such that

$$\tilde{g} = \rho g. \quad (\text{angle preserving})$$

Conformal geometry

Study of quantities or operators that are conformally invariant.

- This connection between conformal geometry and PDE's was explored by H.Yamabe in 1960;

Overview

- ① The Yamabe problem
- ② The Q -curvature problem
- ③ Singular setting
- ④ Strategy
- ⑤ Interior analysis
- ⑥ Exterior analysis

The Yamabe problem

- In 1960, H. Yamabe proposed the following problem:

Yamabe problem

Given a compact Riemannian manifold (M, g) , find a conformal metric $\tilde{g}$ to g with constant scalar curvature.

- If we write $\tilde{g} = u^{\frac{4}{n-2}} g$ ($n \geq 3$), this problem is equivalent to find a positive solution of the following PDE:

$$\Delta_g u - \frac{n-2}{4(n-1)} R_g u + \frac{n(n-2)}{4} u^{\frac{n+2}{n-2}} = 0 \quad \text{in } M$$

- $L_g := \Delta_g - \frac{n-2}{4(n-1)}R_g$ is called *conformal laplacian*, and it satisfies the property

$$\tilde{g} = u^{\frac{4}{n-2}}g \Rightarrow L_{\tilde{g}}(v) = u^{-\frac{n+2}{n-2}}L_g(uv)$$

Some problems in conformal geometry $\Leftrightarrow$ PDE with critical exponent

- Solved by Yamabe '60, Trudinger '68, Aubin '76 and Schoen '84;
- One of the first PDE with **critical exponent** to be fully solved;

The Paneitz operator

- In 1983 S. Paneitz discovered a fourth-order operator that we denote by P_g , which has a conformal structure (T. Branson in 1985);
- If $\tilde{g} = u^{\frac{4}{n-4}} g$, then we have the following transformation rule

$$P_{\tilde{g}}(v) = u^{-\frac{n+4}{n-4}} P_g(uv).$$

- This operator is related to a “new” geometric quantity called [Q-curvature](#);

Q-curvature (Some Geometric aspects)

In dimension 4, as an application of the Chern-Gauss-Bonnet Theorem we can verify that

$$4\pi^2\chi(M) = \frac{1}{8} \int_M |W_g|^2 d\mu + \int_M Q_g d\mu$$

- Analogy between the quantity Q_g of a four-manifold and the Gauss curvature of a surface;
 - S.-Y. Alice Chang, M. Eastwood, B. Ørsted and P. C. Yang: *What is Q-curvature?*

Q-curvature problem

Given a (M, g) compact Riemannian manifold, there exists a metric $\tilde{g}$ which is conformal to g with constant Q-curvature?

- Case $n = 4$:
 - Chang-Yang '95 (*Annals*), Djadli-Malchiodi'08 (*Annals*) and Li-Li-Liu'12 (*Adv. Math.*);
- If $n \geq 5$ and $\tilde{g} = u^{\frac{4}{n-4}} g$, then the problem is equivalent to find a positive solution to the following PDE

$$P_g u = \frac{n(n-4)(n^2-4)}{16} u^{\frac{n+4}{n-4}} \quad \text{on } M \quad (1)$$

Let's focus on the case $n \geq 5$;

The Paneitz operator is

$$P_g = \Delta_g^2 + \operatorname{div} \left(\frac{4}{n-2} \operatorname{Ric}_g - \frac{(n-2)^2+4}{2(n-1)(n-2)} R_g g \right) d + \frac{n-4}{2} Q_g.$$

and the Q -curvature is given by

$$Q_g = -\frac{1}{2(n-1)} \Delta_g R_g + \frac{n^3-4n^2+16n-16}{8(n-1)^2(n-2)^2} R_g^2 - \frac{2}{(n-2)^2} |\operatorname{Ric}_g|^2,$$

The Paneitz operator is

$$P_g = \Delta_g^2 + \operatorname{div} \left(\frac{4}{n-2} \operatorname{Ric}_g - \frac{(n-2)^2+4}{2(n-1)(n-2)} R_g g \right) d + \frac{n-4}{2} Q_g.$$

and the Q -curvature is given by

$$Q_g = -\frac{1}{2(n-1)} \Delta_g R_g + \frac{n^3-4n^2+16n-16}{8(n-1)^2(n-2)^2} R_g^2 - \frac{2}{(n-2)^2} |\operatorname{Ric}_g|^2,$$

What are the main difficulties?

- Variational problem, but ...
- Lack of **maximum principle**!
 - Several new insights thanks to the works of Qing-Raske' 06 *IMRN*, Gursky- Malchiodi '15 *JEMS* and Hang-Yang'16 *CPAM*;

What happens in the noncompact case?

- What happens if the domain is noncompact? **Ex:** $M \setminus X$, $X \subset M$ is a nonempty set.

Problem

Given a compact manifold (M, g) , find a conformal metric $\tilde{g}$ which is complete in $M \setminus X$ with constant scalar (*Q-curvature*) curvature .

- *Singular Yamabe problem (SYP) and Singular Q-curvature problem;*

In the case of the [SYP](#) for $n \geq 3$, if u is a positive solution of

$$\begin{cases} \Delta_g u - \frac{n-2}{4(n-1)} R_g u + \frac{n(n-2)}{4} u^{\frac{n+2}{n-2}} = 0 & \text{in } M \setminus X \\ u(x) \rightarrow \infty & \text{as } x \rightarrow X \end{cases}$$

then the metric $\tilde{g} = u^{\frac{4}{n-2}} g$ is complete and has constant positive scalar curvature.

In the case of the SYP for $n \geq 3$, if u is a positive solution of

$$\begin{cases} \Delta_g u - \frac{n-2}{4(n-1)} R_g u + \frac{n(n-2)}{4} u^{\frac{n+2}{n-2}} = 0 & \text{in } M \setminus X \\ u(x) \rightarrow \infty & \text{as } x \rightarrow X \end{cases}$$

then the metric $\tilde{g} = u^{\frac{4}{n-2}} g$ is complete and has constant positive scalar curvature.

Existence of solutions is directly related with the size of the singular set X and the sign of the scalar curvature!

- Aviles and McOwen' 88: If X is a k -submanifold, then a solution for SYP with $R_g < 0$ exists iff, $k > (n-2)/2$.
- If a solution with $R_g \geq 0$ exists then $\dim X \leq (n-2)/2$;

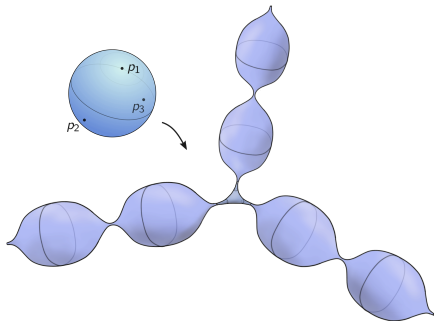
Existence results: Case $R_g \geq 0$

- Mazzeo, Pacard '96 *JDG*:
 - X closed submanifold of M , $0 < \dim X \leq (n-2)/2$;

What if $X = \{p_1, \dots, p_k\}$? ($R_g = n(n-1)$);

- Mazzeo, Pacard '99 *Duke*, R. Schoen '88 *CPAM*:
 - $M = \mathbb{S}^n$, X has at least two points;
- Byde '05 *Indiana*:
 - M is conformally flat and g is nondegenerate;
- A. Silva Santos '09 *Ann. Henri Poincaré*:
 - g is nondegenerate and Weyl tensor vanishes at the singular points;

Interesting facts...



- Analogy between Constant Scalar curvature metrics and CMCs surfaces ;
- Different types of problems: extrinsic and intrinsic;

Singular Q -curvature problem

Theorem (Hyder-Sire '20 *JFA*)

Let X be a connected closed submanifold of M . Assume that $\mathbf{Q}_g \geq \mathbf{0}$, $Q_g \not\equiv 0$ and $\mathbf{R}_g \geq \mathbf{0}$. If $0 < \dim(X) \leq (n - 4)/2$, then there exists an infinite dimensional family of complete metrics on $M \setminus X$ with constant Q -curvature.

Singular Q -curvature problem

Theorem (Hyder-Sire '20 *JFA*)

Let X be a connected closed submanifold of M . Assume that $\mathbf{Q}_g \geq \mathbf{0}$, $Q_g \not\equiv 0$ and $\mathbf{R}_g \geq \mathbf{0}$. If $0 < \dim(X) \leq (n-4)/2$, then there exists an infinite dimensional family of complete metrics on $M \setminus X$ with constant Q -curvature.

What happens when the singular set $X = \{p_1, \dots, p_k\}$?

Main result

- Suppose $X = \{p\}$;

Theorem

Let (M^n, g) be a closed Riemannian manifold. Assume that $Q_g = n(n^2 - 4)/8$ and that g satisfies (H1) and (H2). Then, there exist a constant $\varepsilon_0 > 0$ and a one-parameter family of metrics $\{g_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0)}$, conformal to g in $M \setminus \{p\}$ with constant Q -curvature.

General Idea: PDE viewpoint

If we write

$$u = u_0 + v$$

where u_0 is a **good approximation** for the solution, we have

$$0 = H_g(u_0 + v) = H_g(u_0) + L_g^{u_0}(v) + Q_0(v) \Rightarrow$$

$$L_g^{u_0}(v) = -H_g(u_0) - Q_0(v)$$

General Idea: PDE viewpoint

If we write

$$u = u_0 + v$$

where u_0 is a **good approximation** for the solution, we have

$$0 = H_g(u_0 + v) = H_g(u_0) + L_g^{u_0}(v) + Q_0(v) \Rightarrow$$

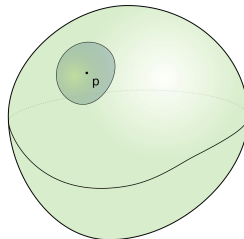
$$L_g^{u_0}(v) = -H_g(u_0) - Q_0(v)$$

If the linearized operator has a right inverse G_g^0 , then find a solution of the problem is equivalent to find a **fixed point** of the operator

$$N(v) = G_g^0(-H_g(u_0) - Q_0(v)).$$

Gluing method:

1. Interior analysis (near the singularity)
2. Exterior analysis
3. Gluing



- The asymptotic analysis by J. Ratzkin '20 indicates that the [Delaunay solutions](#) are good approximations near the singularity;

Local models: Delaunay solutions

Consider the positive solutions $u > 0$ of the fourth-order problem

$$\Delta^2 u = \frac{n(n-4)(n^2-4)}{16} u^{\frac{n+4}{n-4}} \quad \text{in } \mathbb{R}^n \setminus \{0\} \quad (\text{D})$$

which are singular at the origin.

- C-S. Lin '98 *CMH*: Positive solutions of (D) are radially symmetric.
- R.L. Frank and T. König '20 classified all the solutions of (D);

Theorem (Frank, König '20 *APDE*)

If the origin is a nonremovable singularity. Then there exists $\varepsilon \in (0, \varepsilon_0)$ and $T \in (0, T_\varepsilon)$, such that

$$u_{\varepsilon, T}(x) = |x|^{\frac{4-n}{2}} v_\varepsilon(-\ln |x| + T).$$

The solutions of (D) are called **Delaunay solutions**.

Theorem (Frank, König '20 *APDE*)

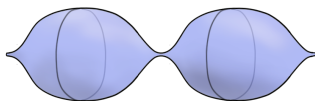
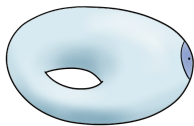
If the origin is a nonremovable singularity. Then there exists $\varepsilon \in (0, \varepsilon_0)$ and $T \in (0, T_\varepsilon)$, such that

$$u_{\varepsilon, T}(x) = |x|^{\frac{4-n}{2}} v_\varepsilon(-\ln |x| + T).$$

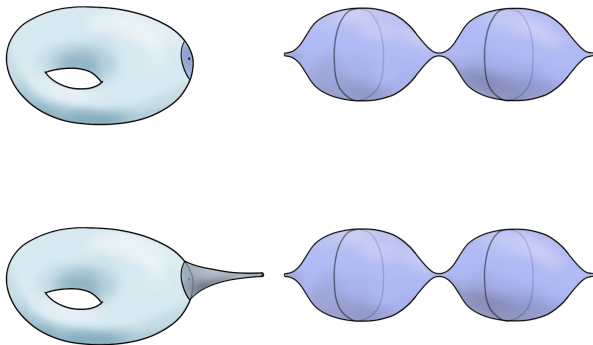
The solutions of (D) are called **Delaunay solutions**.

- Even in fourth-order, these solutions are depending on two-parameters: ε (necksize), and T (period);

General idea: Geometric viewpoint



General idea: Geometric viewpoint



- Constant function 1 + Green function with pole at p ;

Interior analysis

Let $a \in \mathbb{R}^n$ and $R \in \mathbb{R}$. Consider the **modified Delaunay solutions** $u_{\varepsilon,R,a}$.

Consider the operator

$$H_g(u) = P_g u - \frac{n(n-4)(n^2-4)}{16} u^{\frac{n+4}{n-4}}$$

We will seek solutions of the type $u_{\varepsilon,R,a} + v > 0$ such that

$$\begin{cases} H_g(u_{\varepsilon,R,a} + v) = 0 & \text{in } B_r(p) \setminus \{p\} \\ (u_{\varepsilon,R,a} + v)(x) \rightarrow \infty & \text{as } x \rightarrow p \end{cases}$$

Expanding this equation, we obtain

$$H_g(u_{\varepsilon,R,a} + v) = H_g(u_{\varepsilon,R,a}) + L_g^{u_{\varepsilon,R,a}}(v) + Q_{\varepsilon,R,a} = 0$$

which is equivalent to solve

$$L_{\delta}^{u_{\varepsilon,R,a}} v = -H_g(u_{\varepsilon,R,a}) - L_g^{u_{\varepsilon,R,a}}(v) + L_{\delta}^{u_{\varepsilon,R,a}}(v) - Q_{\varepsilon,R,a}$$

Understand the linearized operator, denoted by $L_{\varepsilon,R,a} := L_{\delta}^{u_{\varepsilon,R,a}}$. Is there any “good space” in which this operator admits a **right inverse**?

Expanding this equation, we obtain

$$H_g(u_{\varepsilon,R,a} + v) = H_g(u_{\varepsilon,R,a}) + L_g^{u_{\varepsilon,R,a}}(v) + Q_{\varepsilon,R,a} = 0$$

which is equivalent to solve

$$L_{\delta}^{u_{\varepsilon,R,a}} v = -H_g(u_{\varepsilon,R,a}) - L_g^{u_{\varepsilon,R,a}}(v) + L_{\delta}^{u_{\varepsilon,R,a}}(v) - Q_{\varepsilon,R,a}$$

Understand the linearized operator, denoted by $L_{\varepsilon,R,a} := L_{\delta}^{u_{\varepsilon,R,a}}$. Is there any “good space” in which this operator admits a **right inverse**?

- Weighted Hölder spaces;

Proposition

Let $R > 0$, $\alpha \in (0, 1)$ and $\mu \in (1, 2)$. Then there exists $\varepsilon_0 > 0$ such that, for all $\varepsilon \in (0, \varepsilon_0)$, $a \in \mathbb{R}^n$ and $0 < r < 1$ with $|a|r \leq r_0$ for some $r_0 \in (0, 1)$, there is an operator

$$G_{\varepsilon, R, a, r} : C_{\mu-4}^{0, \alpha}(B_r(0) \setminus \{0\}) \rightarrow C_{\mu}^{4, \alpha}(B_r(0) \setminus \{0\})$$

with the norm bounded independently of ε , R , a and r , which is the **right inverse** of $L_{\varepsilon, R, a}$.

- This operator prescribes the Navier condition on the boundary: (high eingemodes);
 - $\lambda_0, \lambda_1, \dots, \lambda_n, \lambda_{n+1}, \dots$, eigenvalues of $\Delta_{\mathbb{S}^n}$;

Model operator

How can we add a term that “controls” what happens on the boundary?

How can we add a term that “controls” what happens on the boundary?

Proposition

Let $0 < \alpha < 1$. There exists a bounded linear operator $\mathcal{P}_r : \pi_r''(C^{4,\alpha}(\mathbb{S}_r^{n-1})) \times C^{4,\alpha}(\mathbb{S}_r^{n-1}) \rightarrow C_2^{4,\alpha}(B_r(0) \setminus \{0\})$, such that for all $(\phi_0, \phi_2) \in C^{4,\alpha}(\mathbb{S}^{n-1}, \mathbb{R}^2)$, it holds

$$\begin{cases} \Delta^2 \mathcal{P}_r(\phi_0, \phi_2) &= 0 & \text{in } B_r(0) \setminus \{0\} \\ \Delta \mathcal{P}_r(\phi_0, \phi_2) &= r^{-2} \phi_2 & \text{on } \partial B_r \\ \pi_r''(\mathcal{P}_r(\phi_0, \phi_2)) &= \phi_0 & \text{on } \partial B_r. \end{cases}$$

Interior analysis

Finally, we will try to solve

$$H_g(u_{\varepsilon,R,a} + v_{\phi_0,\phi_2} + v) = 0$$

which is equivalent to

$$L_{\varepsilon,R,a}(v) = \textit{the rest of the expansion}.$$

We need to show that the RHS belongs to the [domain of the right inverse](#).

- For dimensions $5 \leq n \leq 7$ that it is true!
- For $n \geq 8$, we need to assume that

$$\nabla^l W_g(p) = 0, \quad \text{for } l = 0, 1, \dots, \left\lfloor \frac{n-8}{2} \right\rfloor. \quad (\text{H1})$$

- Related with the *Weyl vanishing conjecture*;
- (H1) implies that the metric has a good decay; (Some technical difficulties are overcome by the construction of an auxiliary function)

What about the exterior domain?

Exterior analysis

- 1 is an approx. solution + Green function $G_p(x) \approx |x|^{4-n}$ of the linearized;
- We assume that

g is nondegenerate

(H2)

Exterior analysis

- 1 is an approx. solution + Green function $G_p(x) \approx |x|^{4-n}$ of the linearized;
- We assume that

g is nondegenerate (H2)

- Green function G_p exists;
- Linearized operator is invertible;

Therefore,

$$H_g(1 + \lambda G_p + \nu) = 0 \quad \text{in} \quad M \setminus B_r(p)$$

which is equivalent to

$$L_g^1(\nu) = -Q^1(\lambda G_p + \nu)$$

Therefore,

$$H_g(1 + \lambda G_p + v) = 0 \quad \text{in} \quad M \setminus B_r(p)$$

which is equivalent to

$$L_g^1(v) = -Q^1(\lambda G_p + v)$$

What about the boundary?

Proposition

Let $0 < \alpha < 1$. There exists a bounded linear operator $\mathcal{Q}_r : C^{4,\alpha}(\mathbb{S}_r^{n-1}, \mathbb{R}^2) \rightarrow C_{4-n}^{4,\alpha}(\mathbb{R}^n \setminus B_r)$ such that for all $(\psi_0, \psi_2) \in C^{4,\alpha}(\mathbb{S}_r^{n-1}, \mathbb{R}^2)$, it holds

$$\begin{cases} \Delta^2 \mathcal{Q}_r(\psi_0, \psi_2) &= 0 & \text{in } \mathbb{R}^n \setminus B_r \\ \Delta \mathcal{Q}_r(\psi_0, \psi_2) &= r^{-2} \psi_2 & \text{on } \partial B_r \\ \mathcal{Q}_r(\psi_0, \psi_2) &= \psi_0 & \text{on } \partial B_r. \end{cases} \quad (2)$$

Gluing Procedure

Interior solution	Exterior solution
$u_\varepsilon := u_\varepsilon(a, R, \varphi_0, \varphi_2)$	$v_\varepsilon := v_\varepsilon(\lambda, \psi_0, \psi_2)$

To prove that the solutions will “glue”, we need to show that

$$\left\{ \begin{array}{lcl} u_\varepsilon & = & v_\varepsilon \\ \partial_r u_\varepsilon & = & \partial_r v_\varepsilon \\ \Delta_g u_\varepsilon & = & \Delta_g v_\varepsilon \\ \partial_r \Delta_g u_\varepsilon & = & \partial_r \Delta_g v_\varepsilon \end{array} \right. \quad (\mathcal{G})$$

- To show the existence of parameters satisfying $(\mathcal{G})$, we use fixed points arguments again;
- Spectral decomposition: low and high eigenmodes;

Theorem (Main result):

Let (M^n, g) be a closed Riemannian manifold, $n \geq 5$. Assume that $Q_g = n(n^2 - 4)/8$ and

(H1) The Weyl tensor vanishes at p , up to order $[(n - 8)/2]$ ($n \geq 8$);

(H2) g is nondegenerate;

Then, there exist a constant $\varepsilon_0 > 0$ and a one-parameter family of metrics $\{g_\varepsilon\}$, conformal to g in $M \setminus \{p\}$ with constant Q -curvature. Moreover, each g_ε is asymptotically Delaunay and $g_\varepsilon \rightarrow g$ uniformly on compact sets as $\varepsilon \rightarrow 0$.

Thank you!

Modified Delaunay solutions

$$u_{\varepsilon,R,a}(x) = |x - a|x|^2|^{\frac{4-n}{2}} v_{\varepsilon} \left(-\log |x| + \log \left| \frac{x}{|x|} - a|x| \right| + \log R \right).$$

Definition

A metric g is **nondegenerate** if the linearized operator $L_g : C^{4,\alpha}(M) \rightarrow C^{0,\alpha}(M)$ is surjective for some $\alpha \in (0, 1)$, where

$$L_g(u) = P_g u - \frac{n(n^2 - 4)(n + 4)}{16} u.$$